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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/084,567	02/27/2002	Yanchun Zhao	CA920010020US1	7960
7590	12/09/2005		EXAMINER	
Gerald R. Woods IBM Corporation T81/503 PO Box 12195 Research Triangle Park, NC 27709			PATEL, NIRAV B	
			ART UNIT	PAPER NUMBER
			2135	

DATE MAILED: 12/09/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>
	10/084,567	ZHAO ET AL.
	<b>Examiner</b>	<b>Art Unit</b>
	Nirav Patel	2135

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

1) Responsive to communication(s) filed on 10/27/05 (Amendment).  
 2a) This action is **FINAL**.                    2b) This action is non-final.  
 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

4) Claim(s) 1, 2, 4-6, 8-15, 18, 19 and 20 is/are pending in the application.  
 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.  
 5) Claim(s) \_\_\_\_\_ is/are allowed.  
 6) Claim(s) 1,2,4-6,8-15 and 18-20 is/are rejected.  
 7) Claim(s) \_\_\_\_\_ is/are objected to.  
 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

9) The specification is objected to by the Examiner.  
 10) The drawing(s) filed on \_\_\_\_\_ is/are: a) accepted or b) objected to by the Examiner.  
     Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
     Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  
 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
 a) All    b) Some \* c) None of:  
 1. Certified copies of the priority documents have been received.  
 2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)	4) <input type="checkbox"/> Interview Summary (PTO-413)
2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)	Paper No(s)/Mail Date. _____
3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date _____	5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)
	6) <input type="checkbox"/> Other: _____

**DETAILED ACTION**

1. Applicant's amendment filed on October 27, 2005 has been entered. Claims 1, 2, 4-6, 8-15, 18-20 are pending. Claims 3, 7, 16 and 17 are cancelled by the applicant and claims 1, 5, and 13 are also amended by the applicant.

**Claim Rejections - 35 USC § 102**

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

2. Claims 1, 2, 4-6, 8-15, 18, 19 and 20 are rejected under 35 U.S.C. 102(e) as being anticipated by Tarbutton et al (US Patent No. 6,757,830).

As per claim 1, Tarbutton teaches:

intercepting said message before any content of said message is processed by said server [Fig.1 col. 3 lines 54-58]; examining said message to determine if it contains one or more unauthorized elements [col. 4 lines 14-15]; examination comprising: receiving identification of an execution program set to be used to process said message received [Fig. 2, col. 4 lines 40-44, 46-49]; retrieving identification of all message types

associated with said execution program set [Fig. 2,col.4 lines 55-58]; examining said message received by said server in relation to said message types associated with said execution program set [Fig. 2 col. 6 lines 18-25, lines 44-47]; determining if said message received by said server contains an unauthorized element in relation to the corresponding message type for said message received [col. 6 lines 44-49 Fig. 3]; if it is determined that said message contains an unauthorized element preventing said message received from being processed by said server [col. 4 lines 62-65]; if it is determined that said message does not contain an unauthorized element allowing said message received to be processed by said server [col. 4 lines 59-62].

As per claim 2, the rejection of claim 1 is incorporated and further Tarbotton teaches:

if it is determined that said message received contains an unauthorized element, preventing said message received from being processed by said server, and causing an error notification to be sent to said user [col. 6 lines 49-53].

As per claim 4, the rejection of claim 1 is incorporated and is rejected for the same reason set forth in the rejection of claim 2 above.

As per claim 5, it is rejected for the same reason set forth in the rejection of claim 1 above.

As per claim 6, the rejection of claim 5 is incorporated and is rejected for the same reason set forth in the rejection of claim 2 above.

As per claim 8, the rejection of claim 5 is incorporated and is rejected for the same reason set forth in the rejection of claim 2 above.

As per claim 9, the rejection of claim 8 is incorporated and further Tarbutton teaches:

if it is determined that said message received does not contain an unauthorized element, allowing said message received to be processed by said serve [col. 4 lines 59-62].

As per claim 10, the rejection of claim 1 is incorporated and further Tarbutton teaches:

said message comprises a name-value pair [Fig. 4].

As per claim 11, the rejection of claim 10 is incorporated and further Tarbutton teaches:

element comprises one or more of the following items: an instruction, a command, a character, a parameter, a token, or a string of any of said previous items [col. 6 lines 22-24].

As per claim 12, the rejection of claim 10 is incorporated and further Tarbotton teaches:

element is interpretable as an instruction or command by said server [col. 6 lines 22-24].

As per claim 13, it is an apparatus claim corresponds to a method claim 1 and is rejected for the same reason set forth in the rejection of claim 1 above.

As per claim 14, the rejection of claim 13 is incorporated and further Tarbotton teaches:

network server comprises an Internet network server and said message is received over the Internet by said server from a user [Fig. 1].

As per claim 15, the rejection of claim 13 is incorporated and is rejected for the same reason set forth in the rejection of claim 2 above.

As per claim 18, the rejection of claim 13 is incorporated and further Tarbotton teaches:

said message comprises a name-value pair and said element is contained by said name-value pair [Fig. 4].

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As per claim 19, the rejection of claim 18 is incorporated and it is an apparatus claim corresponds to a method claim 11 and is rejected for the same reason set forth in the rejection of claim 11 above.

As per claim 20, the rejection of claim 19 is incorporated and it is an apparatus claim corresponds to a method claim 12 and is rejected for the same reason set forth in the rejection of claim 12 above.

### **Response to Amendment**

3. Applicant has amended claims 1, 4-5, 10, 13-14, and 18 which necessitated new ground of rejection. See rejection above.

### **Conclusion**

4. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

Ji et al (US 5,889,943) discloses the detection and elimination of viruses on a computer network.

Tso et al (US 6,088,803) discloses the system for virus checking a data object to be downloaded to a client device.

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Nirav Patel whose telephone number is 571-272-5936. The examiner can normally be reached on 8 am - 4:30 pm (M-F).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kim Vu can be reached on 571-272-3859. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should

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you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

*NBP*

*12/2/05.*



KIM VU  
ADVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 2135